

**M/s. Ganesh Stockinvest Pvt. Ltd.**

122, VINOBA PURI, LAJPAT NAGAR - II, NEW DELHI - 110024

Ph.No: 011-46740000-30, Fax No: 011-46740030

**CLIENT'S INFORMATION FOR ONLINE TRADING (NOW)  
VOLUNTRY**

<b>SEGMENT</b>	<b>NSE CM</b> Yes <input type="checkbox"/> NO <input type="checkbox"/>	<b>NSE FO</b> Yes <input type="checkbox"/> NO <input type="checkbox"/>
<b>Branch Code</b>		<b>Branch Name :</b>
<b>Group Code</b>		
Client /Trading A/c. Code		
Name of Client		
Father's/Husband Name		
Office Address with Pin Code		
Residence Address with Pin Code		
Contact No. with STD Code		
Date of Birth		
Contact Person/ Designation in case of NON-INDIVIDUAL CLIENT		
E-Mail ID (In Capital Letter)		

**For Office Use**

<b>CTCL ID / SEGMENT</b>	<b>Admin ID</b>	<b>Login ID</b>	<b>Pin Code</b>	<b>Branch ID</b>	<b>Terminal ID</b>
<b>NSE CM</b>	31342				
<b>NSE F&amp;O</b>	22815				
<b>BSE CM</b>	204				

Allocated/Checked By;  
Name:Activated By;  
Name:Signature  
Date: -Signature  
Date: -

**MEMBER-CLIENT AGREEMENT FOR INTERNET TRADING**

This agreement is made and executed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_ between:

**M/s. Ganesh Stockinvest Pvt. Ltd.** , a body corporate, registered/incorporated under the provisions of the Companies Act, 1956, being a member of the National Stock Exchange (hereinafter called “the Exchange”), and having its registered office at 131, Moti Bazar, Chandni Chowk, Delhi – 110006, having its dealing/branch office at 122, Vinoba Puri, Lajpat Nagar –II, New Delhi-110024 (hereinafter called “the stock broker”) which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include himself in the capacity of a trading member while trading in the derivatives segment, his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the One Part;

And

Mr. / Ms / M/s

\_\_\_\_\_ Son/ Daughter / Wife of  
\_\_\_\_\_ or through is Director / Partner /  
Prop Mr. / Mrs.  
\_\_\_\_\_ an individual  
/ a sole proprietary concern / a partnership firm / a body corporate, registered/incorporated,  
under the provisions of the Indian Partnership Act, 1932/the Companies Act, 1956, having  
his/her/its residence/ registered office at  
\_\_\_\_\_ (hereinafter  
called “the client”) which expression shall, unless repugnant to the context or meaning  
thereof, be deemed to mean and include his/her heirs, executors, administrators and legal  
representatives/the partners for the time being of the said firm, the survivor or survivors of  
them and their respective heirs, executors, administrators and legal representatives/its  
successors, as the case may be, of the Other Part;

WITNESSTH:

Whereas the stock broker is registered as the stock broker of the Exchange with SEBI registration number INB230792234 in the Capital Market / Cash Segment and SEBI registration number INF230792234 in the Futures and Options Segment.

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Bye-Laws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
  2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result there from.
  3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.
  4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
  5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.
  6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/MEMBERS/EXCHANGE end.
  7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
- (As per NSE official Clouse no.8 of this agreement now not in force.)**
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within **(N A)** (time period as specified by the Client) from the time of execution of order/trade on the NEAT system, as the case may be. The CLINET agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.

9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.
  
10. The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
  
11. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of Mumbai and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Mumbai for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

IN WITNESS THEREOF, the parties to agreement have caused these presents to be executed as of the day and year first above written.

<p>Signed for and on behalf of <b>MEMBER</b>  By : <b>Mr. Santosh Kumar</b>  Title : Authorized Signatory</p> <p>Signature :</p>	<p>Signed for and on behalf of <b>CLIENT</b>  By :  Title :</p> <p>Signature:</p>
<p>Witness from Member (Name/Add./Sign)  1.</p>	<p>Witness from Client (Name/Add./Sign)  1.</p>